



# Call Record Policy

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## **1 General Principles**

The Data Protection Act 1998 (the Act) protects personal information held by organisations on computer and relevant filing systems. It enforces a set of standards for the processing of such information. In general terms it provides 85 in any way incompatible with these purposes.

In the course of its activities Zoom Answer Call will collect, store and process personal data, including the recording of all telephone calls, and it recognises that the correct and lawful treatment of this data will maintain confidence in the organisation and will provide for successful business operations.

## **2 Call Record Overview Purposes of call recording**

The purpose of call recording is to provide an exact record of the call which can:

- Help identify Zoom' staff training needs;
- Help improve Zoom' staff performance;
- Help protect Zoom' staff from abusive or nuisance calls;
- Establish the facts in the event of a complaint either by a customer or a member of staff and so assist in resolving it;
- Assist in quality control to identify any issues in processes, with a view to improving them through one to one monthly call feedback sessions
- A call recording may also be used as evidence in the event that an employee's telephone conduct is deemed unacceptable. In this situation the recording will be made available to the employee's DM, to be investigated as per the Zoom Disciplinary Policy.

The telephone call recording system in operation will record incoming and outgoing telephone calls and recordings may be used to investigate compliance with Zoom Answer Call's Quality Standards, to provide further training, to support the investigation of complaints, to ensure that Zoom complies with regulatory procedures and to provide evidence for any regulatory investigation.

Zoom will record telephone conversations from its central telephone system. Zoom currently does not record the content of any telephone conversations outside of this operating system, telephone conversations made to and from work provided mobile telephones, nor calls initiated as internal calls between extension users.

### **3 Communicating the Call Recording System**

Zoom will make every reasonable effort to communicate that calls will be recorded. This will be done by:

- Publishing this policy on Zoom Answer Call's Web [www.zoom.co.uk](http://www.zoom.co.uk)
- General notification e-mail to all staff to inform that telephone calls will be recorded for training and monitoring purposes.
- Adding a notification, with a web link to this policy to the following letter templates; All Client Care Letters.
- Informing all clients in the first instance via a recorded announcement for Incoming calls, also on outbound calls where no automated announcement exists the advisor will read a script to advise the client, that all calls made to and from the business will be recorded for training and monitoring purposes.

## **4 Procedures to prevent the recording of sensitive data**

The purpose of this section is to advise all staff at Zoom of our position on taking credit card details from clients and how to keep those details safe & secure. It is our responsibility to protect credit card data.

Our credit card provider requires us to comply with their Payment Card Industry Data Security Standards (PCI DSS) compliance programme. The programme aims to ensure that all merchants accepting card payments do so securely.

A data breach can make us liable for any fines incurred by Card schemes in addition to the cost of remedying the breach plus any compensation payable. Zoom will make every reasonable effort to ensure (PCI DSS) compliance is upheld regarding the recording of such telephony stored data. Credit Card information should only be taken from the client either in person or over the phone.

Card details should not be accepted by e-mail or other insecure messaging technologies. For compliance purposes the telephone recording system will provide for automated start/stop recording or muting of the conversation when completing certain fields within an application. In the event that payments are being received verbally over the telephone, all agents will be required to stop/start the telephone call recording mechanism to ensure that such data is not captured. (See procedure below) No member of staff is permitted to write down or retain card information under any circumstance.

Procedure to stop / start call recording to prevent the recording of sensitive data  
Pause Call Recording (working towards compliance completion no later than 30<sup>th</sup> July 2018).

## 5 Procedures for managing and releasing call recordings

1. The recordings shall be stored securely, with access to the recordings controlled and managed by the Data Protection Officer or any other persons authorised to do so by the DPO.
2. Access to the recordings is only allowed to satisfy a clearly defined business need and reasons for requesting access must be formally authorised only by a relevant Partner / Head of Department. All requests for call recordings should include the following:
  - a. The valid reason for the request.
  - b. Date and time of the call if known
  - c. Telephone extension used to make/receive the call.
  - d. External number involved if known.
  - e. Where possible, the names of all parties to the telephone call.
  - f. Any other information on the nature of the call.
3. The browsing of recordings for no valid reason is not permitted.
4. The Data Protection Act allows persons access to information that we hold about them. This includes recorded telephone calls. Therefore, the recordings will be stored in such a way to enable the Data Protection Officer to retrieve information relating to one or more individuals as easily as possible.
5. Requests for copies of telephone conversations made as Subject Access Requests under the Data Protection Act must be notified in writing to the Data Protection Officer immediately and, subject to assessment, he/she will request the call recording and arrange for the individual concerned to have access to hear the recording.

6. In the case of a request from an external body in connection with the detection or prevention of crime e.g. the Police, the request should be forwarded to the Data Protection Officer who will complete the request for a call recording.
7. Requests for copies of telephone conversations as part of staff disciplinary processes will only be released with the written agreement of the DPO, or any other person authorised by the DPO, who will consult with the Data Protection Officer before approval is granted.
8. Recordings of calls will be encrypted and stored electronically in a secure environment. Call recordings will periodically deleted, in line with the Retention Policy of 90 days.